

Vertiv Authorization Letter

October 1, 2019

Dear Vertiv Supplier,

Vertiv Group Corporation (“Vertiv”) values its brand reputation and takes seriously its responsibility to comply with applicable governmental regulations. As a producer of goods and a provider of services used in most major global markets, Vertiv is subject to numerous regulatory requirements while conducting business globally, as partially-enumerated below.

As the agent of Vertiv, acting on Vertiv’s behalf, Assent Compliance (“Assent”) is authorized to gather important and applicable compliance information for Vertiv Group Corporation and its subsidiaries and affiliates. This authorization will remain valid through September 30, 2020.

To meet Vertiv’s regulatory requirements, Vertiv requires that you submit your declarations to Assent via the Assent online portal. If applicable, this enables you to view any or all parts you supply to Vertiv and to easily submit declarations on each part.

Vertiv requires that you provide information in support of the following programs:

1. **Conflict Minerals** - Dodd-Frank Act 1502: The United States Conflict Minerals Rule requires companies to provide a report to the U.S. Securities and Exchange Commission (SEC) on the due diligence processes in place to determine conflict mineral sources. Companies must also disclose the chain of custody used to avoid obtaining 4 specific minerals from countries adjacent to and including the Democratic Republic of Congo (DRC) that are known to finance or benefit armed groups (Legal Reference Dodd-Frank Act).
2. **Human Trafficking & Slavery** - The UK Modern Slavery Act (MSA), The California Transparency in Supply Chains Act (CA-TISCA), and The Australia Modern Slavery Act.
3. **Supplier Code of Conduct** - Vertiv expects suppliers to project our values and principles, to stay current with technology, to act with integrity, and to treat people and the environment with respect. Vertiv may discontinue its relationship with suppliers who fail to agree and comply with this Supplier Code of Conduct.
4. **EU REACH 1907/2006** – as of the most recent SVHC list: The Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Regulation requires the identification of Substances of Very High Concern (SVHC) contained in articles above the threshold 0.1% w/w manufactured and marketed in the EU (Legal Reference EC 1907/2006).



MIKE KITSON
Chief Procurement Officer
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5. **EU RoHS 10 Substances Amendment Directive (EU) 2015/863:** Amendment to EU RoHS 2 Directive adding four specific phthalate substances that will become restricted above a specific threshold July 22, 2019 (Legal Reference Directive 2011/65/EU and (EU) 2015/863).
6. **Vertiv Reportable Substance List (VRSL):** List of substances or groups included in additional regulations impacting Vertiv products (example: ePEAT, Fluorinated greenhouse gases, etc.)

You may know Vertiv through its subsidiaries, brands, or prior entity names, including but not limited to Emerson Network Power, Liebert, Hiross, Energy Systems, Knürr, Chloride, Avocent, Alber, Electrical Reliability Services (ERS), High Voltage Maintenance (HVM), Geist, and Energy Labs.

If you require assistance, please contact Assent Compliance at compliancesupport@assentcompliance.com.

It is very important to Vertiv, for both reputational risk and legal reasons, that all parties follow both the letter and the intent of these regulations. Thank you for providing the requested information to Assent Compliance.

Best regards,

A handwritten signature in black ink that reads 'Mike Kitson' with a long horizontal flourish extending to the right.

Mike Kitson
Chief Procurement Officer