



**MIKE KITSON**  
Chief Procurement Officer  
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## Vertiv Authorization Letter

October 21, 2020

Dear Vertiv Supplier,

Vertiv values its brand reputation and takes seriously its responsibility to comply with applicable governmental regulations. As a producer of goods and a provider of services used in most major global markets, Vertiv is subject to numerous regulatory requirements while conducting business globally, as partially enumerated below.

As the agent of Vertiv, and acting on Vertiv's behalf, Assent Compliance ("Assent") is authorized to gather important and applicable compliance information for Vertiv and its worldwide subsidiaries and affiliates. This authorization will remain valid through September 30, 2021.

To meet Vertiv's regulatory requirements, Vertiv requires that you submit your declarations to Assent via the Assent online portal, via the link provided by Assent. If applicable, this enables you to view any or all parts you supply to Vertiv and to easily submit declarations on each part.

Vertiv requires that you provide information in support of the following programs:

1. **Conflict Minerals** - Dodd-Frank Act 1502: The United States Conflict Minerals Rule requires companies to provide a report to the U.S. Securities and Exchange Commission (SEC) on the due diligence processes in place to trace the chain of custody and determine sources of four specific minerals known to finance or benefit armed groups in countries adjacent to and including the Democratic Republic of Congo (DRC). Vertiv requires suppliers whose products contain these minerals to complete and submit a Conflict Minerals Reporting Template (CMRT) and to ensure that all identified smelters and refiners are listed as Active or Conformant with the Responsible Minerals Assurance Process (RMAP) by the Responsible Minerals Initiative (RMI).
2. **Cobalt** – The Democratic Republic of the Congo (DRC) is the world's largest producer of cobalt and holds more than 50 percent of the global cobalt reserves. Cobalt is used in lithium-ion batteries and electronics parts, and demand for cobalt is expected to rise significantly over the coming years. Multiple reports have highlighted concerns over social and environmental impacts of cobalt extraction, including child labor and unsafe working conditions in artisanal cobalt mining (Responsible Minerals Initiative, 2020). Vertiv requires suppliers whose products contain cobalt to complete and submit a Cobalt Reporting Template (CRT) and to ensure that all identified refiners are listed as Active or Conformant with the Responsible Minerals Assurance Process (RMAP) by the Responsible Minerals Initiative (RMI).



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3. **Anti-Human Trafficking & Slavery** - Fair treatment of all people involved in work processes is crucial to responsible businesses. Principles and requirements in this matter are represented through the UK Modern Slavery Act (MSA), The California Transparency in Supply Chains Act (CA-TISCA), and The Australia Modern Slavery Act. Vertiv requires certain suppliers to complete and submit a Slavery and Trafficking Risk Template (STRT).
4. **Supplier Code of Conduct** - Vertiv expects suppliers to project our values and principles, to stay current with technology, to act with integrity, and to treat people and the environment with respect. Vertiv may discontinue its relationship with suppliers who fail to formally acknowledge or comply with Vertiv's Supplier Code of Conduct.
5. **EU REACH 1907/2006** – as of the most recent SVHC list: The Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Regulation requires the identification of Substances of Very High Concern (SVHC) contained in articles above the threshold 0.1% w/w manufactured and marketed in the EU (Legal Reference EC 1907/2006). Vertiv requires suppliers to submit full material disclosures and/or compliance certificates identifying SVHC in products.
6. **SCIP submission under the EU Waste Framework Directive (WFD) (2018/851)** - Companies supplying products containing SVHC on the EU market are required to submit additional product and SVHC information to the European Chemical Agency, regardless of manufacturing origin. Vertiv requires suppliers whose products contain SVHC to submit additional product data and safe use instructions.
7. **EU RoHS 10 Substances Amendment Directive (EU) 2015/863**: Amendment to EU RoHS 2 Directive adding four specific phthalate substances that will become restricted above a specific threshold July 22, 2019 (Legal Reference Directive 2011/65/EU and (EU) 2015/863). Vertiv requires suppliers to submit full material disclosures and/or certificates of compliance identifying any of the 10 restricted substances in products.
8. **Vertiv Reportable Substance List (VRSL)**: List of substances or groups included in additional regulations impacting Vertiv products (example: ePEAT, Fluorinated greenhouse gases, etc.). Vertiv requires suppliers to full submit material disclosures and/or certificates of compliance identifying these substances in products.

If you require assistance, please contact Assent Compliance at [compliancesupport@assentcompliance.com](mailto:compliancesupport@assentcompliance.com).

It is very important to Vertiv, for both reputational risk and legal reasons, that all parties follow both the letter and the intent of these regulations. Thank you for providing the requested information to Assent Compliance.

Best regards,

DocuSigned by:

*Mike Kitson*

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