



MIKE KITSON
Chief Procurement Officer

Letter of Authorization (LoA)

October 4, 2021

Vertiv Supplier,

Vertiv has partnered with and authorizes Assent Compliance ("Assent"), as the agent of Vertiv, to act on Vertiv's and its worldwide subsidiaries' and affiliates' behalf in the regulatory compliance programs outlined below, including the gathering of important information from Vertiv's suppliers and submission of certain disclosures to regulatory bodies. This letter of authorization will remain valid through September 30, 2022.

Vertiv requires your company to submit responses to specific data and regulatory requests in the prescribed format using an online supplier portal managed and supported by Assent as a condition of doing business.

This authorization applies to the following programs:

- **[Conflict Minerals - Dodd-Frank Act 1502](#)**: Vertiv requires suppliers whose products contain tin, tantalum, tungsten, or gold to complete and submit a Conflict Minerals Reporting Template (CMRT) and to ensure that all identified smelters and refiners are listed as Active or Conformant with the Responsible Minerals Assurance Process (RMAP) by the Responsible Minerals Initiative (RMI).
- **[Cobalt](#)**: Vertiv requires suppliers whose products contain cobalt to complete and submit a Cobalt Reporting Template (CRT) and to ensure that all identified refiners are listed as Active or Conformant with the Responsible Minerals Assurance Process (RMAP) by the Responsible Minerals Initiative (RMI).
- **[Anti-Human Trafficking & Slavery](#)**: Vertiv requires suppliers operating in industries or geographies with an increased risk of human trafficking to complete and submit a Slavery and Trafficking Risk Template (STRT).
- **[Authorization and Restriction of Chemicals \(REACH\) Regulation](#)**: requires the identification of Substances of Very High Concern (SVHC) contained in articles above the threshold 0.1% w/w manufactured and marketed in the EU (Legal Reference EC 1907/2006): Vertiv requires suppliers to submit full material disclosures and compliance certificates identifying SVHC in products.
- **[SCIP submission under the EU Waste Framework Directive \(WFD\) \(2018/851\)](#)**: Companies supplying products containing REACH SVHC on the EU market are required to submit additional product and SVHC information to the European Chemical Agency, regardless of manufacturing origin. Vertiv requires suppliers whose products contain SVHC to submit other product data and safe use instructions.
- **[EU RoHS 10 Substances Amendment Directive \(EU\) 2015/863](#)**: (Legal Reference Directive 2011/65/EU and (EU) 2015/863) Vertiv requires suppliers to submit full material disclosures and certificates of compliance identifying any of the ten substances restricted in products.
- **[US California Proposition 65](#)**: Vertiv requires suppliers to submit full material disclosures and compliance certificates for any products containing Prop 65 substances that the end-user or an employee could be exposed to under everyday product use.
- **[EU Critical Raw Materials](#)**- As of 2020, the EU has identified 30 substances as Critical Raw Materials. Vertiv requires suppliers whose products contain these substances to provide declarations regarding their country of origin.
- **[US Critical Raw Materials](#)**- As of 2020, the US Department of Commerce has identified 37 substances as Critical Raw Materials. Vertiv requires suppliers whose products contain these substances to provide declarations regarding their country of origin.



MIKE KITSON
Chief Procurement Officer

- **US TSCA:** The US Environmental Protection Agency (EPA) restricts the use in or import to the US of certain substances or substance families under Section 6 of the Toxic Substances Control Act (TSCA). Vertiv requires suppliers to submit full material disclosures and compliance certificates identifying any restricted substances in products.
- **Full Material Disclosure:** Vertiv strongly encourages suppliers to submit Full Material Disclosures (FMD) for their products, as they allow most material compliance programs to be evaluated by Vertiv using a single declaration, significantly reducing the number and frequency of compliance requests made to your organization.
- **Supplier Onboarding Self-Registration and Supplier Profile Updates:** All new and certain existing suppliers must complete an online registration or profile update to ensure their data is accurate within Vertiv's systems and acknowledge critical policies.

Within the email request you receive, and within the supplier portal, you will see a list of the specific subset of these programs for which your organization is in scope.

Vertiv requires that you respond to all data and regulatory requests in the timelines requested. Failure to meet these requirements may lead to formal corrective action, a downgrade of preferred supplier status, and the loss of future business.

If you require assistance, please contact Assent Compliance at compliancesupport@assentcompliance.com. To authenticate this LoA, you may also find it under the "Regulatory Requests" section at www.vertiv.com/en-us/about/supplier.

As a producer of goods and a provider of services used in most major global markets, Vertiv is subject to numerous regulatory and market requirements. Vertiv values its brand reputation and takes seriously its responsibility to comply with applicable regulations. It is imperative to Vertiv, for both reputational risk and legal reasons, that all parties follow both the letter and the intent of these regulations. Thank you for providing the requested information to Assent Compliance and for your ongoing support of Vertiv.

Best regards,

DocuSigned by:

Mike Kitson

CFAD421C059E418...

Mike Kitson

Chief Procurement Officer